Turning Tides Financial LLC
live your life...plan your future

2821 Wehrle Drive, Suite 4, Williamsville, NY 14221
4201 North Buffalo Road, Orchard Park, NY 14127
(716) 800-4290
www.TurningTidesFinancial.com

Financial Plan Document Checklist

Please compile the following financial documents/statements listed below to help us gain a better perspective of your financial picture.

Bank Statements
- CD's
- Money Markets
- Checking
- Savings
- Christmas Club
- Credit Union

Retirement Accounts
- IRA
- Deferred Compensation
- 401(k)
- Roth
- 403(b)
- Social Security
- Pension
- Executive Bonus Plans

Investment Account Statements
- Brokerage Account(s)
- Annuities
- Mutual Funds(s)
- Stock Holdings

Insurance
- Life Insurance
- Disability Policy
- Home Owners Policy
- Umbrella Policy
- Car Insurance
- Long Term Care

Budget
- Debt (include amount owed, interest rate, and payment amount)
  - Mortgage Statement
  - Auto Loans
  - Credit Card
  - Auto Leases
  - Personal Loans
  - Monthly household Expenses
- Income Sources
  - Employment Income
  - SSN Income
  - Pension Income
  - Worker's Comp
  - Disability Insurance

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PERSONAL INFORMATION

Client Name: ____

Spouse: ____

Status:

- Married
- Single
- Widowed
- Divorced

Employer ____

Target Retirement Date: ______________________________

Desired Monthly Income in Retirement: ____________________

Current Retirement Plan Contributions:

- Type of Plan _______
- Amount __________
- Co. Match _________
- Weekly/Bi ________

Expected Lump Sum Retirement Fund Distributions:

- When _____________
- Amount __________
- Purpose ___________

Is Legacy Planning (gifting to heirs) Important to You

- Yes
- No